

# California Governor's Office of Business & Economic Development

# SB846 – Diablo Canyon Parcel P Reuse Grant

The Governor's Office of Business and Economic Development (GO-Biz) invites organizations and/or governmental agencies to review and respond to this Solicitation. In submitting a response, applicants must comply with the instructions found herein.

This is an open solicitation for non-profit organizations and governmental entities.

Before submitting a response to this solicitation, interested parties must read and review the entire solicitation.

Responses are due by May 14, 2025, 5:00PM Pacific Time, to RegionalInvestment@gobiz.ca.gov with the subject line: "RFP Diablo Canyon".

> GO-Biz Point of Contact: 1325 J Street, Suite 1800 Sacramento, CA 95814 RegionalInvestment@gobiz.ca.gov

### 1. Background

In 2022, the California State Legislature passed SB 846, effectively extending the operation of Diablo Canyon Power Plant beyond the original decommissioning years of 2024 and 2025. Upon appropriation by the Legislature, funding was made available to support a Land Conservation and Economic Development Plan developed by the California Natural Resources Agency, in consultation with Labor and Workforce Development Agency and the Governor's Office of Business and Economic Development, that supports environmental enhancements and access of Diablo Canyon Power Plant lands and local economic development in a manner that is consistent with existing decommissioning efforts, including, but not limited to, Section 712.7 of the Public Utilities Code. Governor Newsom signed SB 846 September 2022.

Diablo Canyon Power Plant (DCPP) is a unique nuclear energy industrial site operated by PG&E and located on the Central Coast of California in San Luis Obispo County that offers industry-leading energy assets. With the direction given by the State Legislature there is an opportunity to explore and define the reuse of DCPP by identifying future-use activities that can be coordinated with the decommissioning timeline revised in SB 846.

This request for proposal (RFP) is for the Office of Regional Economic Development Initiative (REDI) team within the Governor's Office of Business and Economic Development (GOBiz). GO-Biz serves as the State of California's leader for job growth, economic development, and business assistance efforts. GO-Biz is seeking a non-profit economic development organization and/or a governmental agency based in San Luis Obispo County to facilitate and develop a community-informed site reuse and economic development plan for the Diablo Canyon Power Plant (DCPP) as defined in SB 846.

The selected organization will work closely with GO-Biz to identify key and diverse community, business and tribal leaders to engage for considering future uses of DCPP ahead of the intended decommissioning currently slated to begin in 2030.

Core responsibilities will include conducting economic impact analysis related to the decommissioning process and future uses, identifying challenges and opportunities for the potential reuse, and interpreting data for strategic redevelopment and reuse of DCPP. The final deliverable will be an economic development plan that provides recommendations and mixed-use models for future reuse that supports research, education and commercial enterprise utilizing the clean energy assets connected with DCPP while also preserving the environmental and cultural values of the site.

# 2. Structure and Operational Capacity

The selected organization shall have sufficient staff and resources to effectively track, manage, and execute the workload required to execute on this grant. The organization must demonstrate the ability to provide timely reporting, ensuring that all deliverables meet the expectations outlined in the scope of work. At a minimum, the organization shall designate a Project Manager to lead and coordinate efforts, with additional

support staff as needed to complete research, modeling, scenario planning, communications, stakeholder engagement, industry engagement and reporting tasks efficiently. This grant service agreement will run through December 2026.

# 3. Minimum Qualifications

1. Professional Experience:

a. Must have a proven track record of working with government agencies, economic development organizations, academic institutions, investor-owned utilities, tribal leaders and private-sector partners on strategic planning projects, particularly those in San Luis Obispo County.

b. Demonstrated experience conducting economic impact studies and projections, scenario planning and modeling, advisory services and/or strategic planning specific to the San Luis Obispo County economic landscape and overall familiarity with

California's economic landscape, including the clean energy industry sector.

c. Expertise in developing economic projections and forecasts, with a strong emphasis on providing recommendations based on findings.

d. Demonstrated experience moderating and facilitating public convenings to collect input and feedback for integration into the economic plan.

# 2. Education

a. The Project Manager will have an advanced degree in one of the following: communications, political science, economics, community development, or public policy.

These minimum qualifications are essential for organizations to possess to be considered for the RFP. Organizations must meet all the above requirements to ensure they have the expertise and qualifications necessary to successfully fulfill the objectives of the project.

# 4. Scope of Work

The organization will be responsible for the following tasks that will inform the deliverable of an economic development plan for the reuse and/or redevelopment of DCPP:

- A. Develop a Strategic and Comprehensive Approach to Diablo Canyon Parcel P Reuse
- B. Develop a portfolio of identified projects and conduct pre-development activities associated with each
- C. Develop a plan to attract and gain access to future investment to implement the reuse plan, and begin implementation of that plan
- D. Develop a communications and partner engagement strategy to actively engage the Central Coast community in this endeavor

# II. PROPOSAL CONTENT

The individual responding to this RFP ("Respondent") must submit the following information with the written proposal:

1. Cover Letter

a. Name of the organization, website, telephone number, and address, including e-mail address, of bidder.

b. Name and title of individual authorized to submit the proposal.

c. Name, e-mail address, and telephone number of person GO-Biz may contact during the proposal evaluation process (if different).

d. Form of business - e.g. not-for-profit organization or government agency

e. Organization background including year established and number of employees.

f. Name(s) of any co-applicants that will be part of the project team.

2. Curriculum Vitae (CV) or resume for the Project Manager, and any key support or sub-contractor staff who will be assigned to the project.

a. Each CV or resume should include a summary of relevant experience, highlighting past projects similar in scope to the work outlined in this RFP. b. Experience should demonstrate expertise in economic research, policy analysis, data modeling, and advisory services, particularly in California's economic landscape.

c. Clearly identify each team member's role in the project and their specific areas of responsibility.

3. Methodology

a. Provide a description of how the organization would deliver on the scope of work outlined above

b. Outline the organization's (and any included partner entities) experience in economic development and reuse planning, historic engagement with Pacific Gas & Electric, and commitment to the San Luis Obispo County community.

c. An explanation of how the regular, and final deliverables will be presented to GO-Biz, including reports, visualizations, and briefings. d. Provide a sample analysis or case study demonstrating the firm's expertise in economic development.

4. Cost Estimation and Budget Analysis

a. Provide a detailed and deliverables-based budget structure outlining the key deliverables and costs associated.

c. Invoicing schedules and reporting requirements.

d. Proposers should outline their approach to maximizing impact within their proposed budget, detailing the scope of work achievable at various funding levels to ensure transparency in cost-effectiveness and realistic expectations.

5. Partnership Agreement

a. Letters from at least three entities within San Luis Obispo County committing to partnering with the applicant on this work

6. Interviews and/or Presentations

### a. GO-Biz may request interviews of applicants

### III. TIMELINE

RFP Released by GO-Biz	April 18, 2025
Deadline for Written Questions	April 24, 2025, at 5:00 PM PT
Please submit questions to	
Regionalinvestment@gobiz.ca.gov	
RFP Submission Deadline	May 14, 2025, by 5:00PM PT
Tentative Award Notice	May 28, 2025
Contract Period	June 15, 2025 – December 31, 2026

### IV. EVALUATION

Proposals will be evaluated by individuals in a committee. The committee will evaluate each proposal and score each proposal individually using the Evaluation Criteria Score Sheet. Proposals will be considered on their merits in accordance with the Scope of Work.

	Evaluation Score Sheet	Maximum Possible Score	Score
1.	How well does the Proposer's experience directly relate to the scope of work?	30	
2.	How well does the proposal address the requirements of the RFP?	30	
3.	Does the proposer include the required partnership agreement letters?	10	
5.	Are the budget/cost estimates provided realistic and well-justified?	30	

### **V. ADMINISTRATION**

### **TERMINATING GRANT SERVICE AGREEMENT**

GO-Biz will have grounds to terminate the grant service agreement under the following conditions:

1. Non-performance: Failure to meet agreed-upon deliverables and objectives within the specified timelines and milestones.

2. Unresponsiveness: A pattern of unresponsiveness to communication efforts. If GOBiz or delegate contact experiences more than two instances where emails and/or voicemails are not responded to within five working days, it will be considered a breach of contract.

3. Quality of Work: Submission of substandard or inadequate analysis, reports, or economic evaluations that do not meet professional standards. All deliverables must be detailed, data-driven, and actionable for informing policy and decision making.

4. Lack of Transparency: Failure to provide regular updates, progress reports, and documentation as required. This includes maintaining an open line of communication with GO-Biz regarding the status of the project, challenges encountered, methodologies used in analysis, and policies recommended.

If any of the above conditions are met, GO-Biz reserves the right to terminate the contract with immediate effect. Written notice of termination will be provided, outlining the specific grounds for termination.

### **RFP RESPONSE REQUIREMENTS**

This RFP and the Proposer's response to this document will be made part of GO-Biz's grant service file. Responses must contain all requested information and data and conform to the format described in this section. It is the Proposer's responsibility to provide all necessary information for the State to evaluate the response, verify requested information, and determine the Proposer's ability to perform the tasks and activities defined in the State's Scope of Work. The Proposer must submit their response electronically to the department contact name and email address indicated on the cover sheet to this RFP.

Plagiarism, including but not limited to taking someone else's work or ideas and passing them off as one's own, and failure to cite someone else's work or ideas, is prohibited and is grounds for disqualification. Using Generative Artificial Intelligence (GenAI) tools to complete any portion of an application, without citation, may be considered plagiarism and may also be grounds for disqualification.

# AMENDMENTS TO RFP; DOWNLOADING FROM INTERNET

a) GO-Biz reserves the right to amend the RFP at any time before the RFP submission deadline.

b) All amendments will be posted on Business.ca.gov/publications

Each Bidder is advised to check this page periodically for amendments to this RFP, particularly if the Bidder downloaded the RFP from the Internet as the Bidder may not automatically receive amendments.

# **VENDOR FORMS**

Please include the completed vendor forms and submit them with your proposal. Blank copies of the required forms are included in the solicitation documents. The necessary forms are as follows:

- STD 204 Payee Data Record
- STD 21 Drug Free Workplace Certification
- CCC Contractor Certification Clauses

- Darfur
- Bidder Declaration

### COPYRIGHTS

By submitting a bid proposal, the Bidder agrees that GO-Biz may copy the bid proposal for purposes of facilitating the evaluation of the bid proposal or to respond to requests for public records. The Bidder consents to such copying by submitting a bid proposal and warrants that such copying will not violate the rights of any third party.

# PUBLIC RECORDS ACT

By submitting an application, the applicant acknowledges that GO-Biz is subject to the California Public Records Act (PRA) (Government Code section 7920.000 et. seq.). Consequently, materials submitted by an applicant to GO-Biz may be subject to disclosure pursuant to a PRA request. In the event that records of the applicant are requested, GO-Biz will notify the applicant, as soon as practicable, that a PRA request for the applicant's information has been received, but not less than five (5) business days prior to the release of the requested information, to allow the applicant to seek an injunction. GO-Biz will work in good faith with the applicant to protect any confidential information to the extent an exemption is provided by law.

### **GenAI DISCLOSURE**

The State of California seeks to realize the potential benefits of GenAI, through the development and deployment of GenAI tools, while balancing the risks of these new technologies.

Bidder / Offeror must notify the State in writing if it: (1) intends to provide GenAI as a deliverable to the State; or (2), intends to utilize GenAI, including GenAI from third parties, to complete all or a portion of any deliverable that materially impacts: (i) functionality of a State system, (ii) risk to the State, or (iii) Contract performance. For avoidance of doubt, the term "materially impacts" shall have the meaning set forth in State Administrative Manual (SAM) § 4986.2 Definitions for GenAI.

Failure to report GenAI to the State may result in disqualification. The State reserves the right to seek any and all relief to which it may be entitled to as a result of such non-disclosure.

Upon notification by a Bidder / Offeror of GenAI as required, the State reserves the right to incorporate GenAI Special Provisions into the final contract or reject bids/offers that present an unacceptable level of risk to the State.

Government Code 11549.64 defines "Generative Artificial Intelligence (GenAI)" as an artificial intelligence system that can generate derived synthetic content, including text, images, video, and audio that emulates the structure and characteristics of the system's training data.

Potential Proposers must email all required materials to Regionalinvestment@gobiz.ca.gov no later than May 14, 2025, 5:00pm PT. No mailed/hard copy submittals will be accepted. No late proposals will be accepted or considered under any circumstances. It is recommended that you submit your proposal at least two business days in advance of the final deadline to receive a confirmation of receipt and avoid potential delays due to technical difficulties. If you should experience technical difficulties during the submission, please contact Regionalinvestment@gobiz.ca.gov immediately. STATE OF CALIFORNIA – DEPARTMENT OF FINANCE

PAYEE DATA RECORD

(Required when receiving payment from the State of California in lieu of IRS W-9 or W-7) STD 204 (Rev. 03/2021)

Section 1 –	Payee Information		
NAME (This is required. Do not leave this line blank. Must match the particular the particular states and the particular s			
BUSINESS NAME, DBA NAME or DISREGARDED SINGLE M	EMBER LLC NAME (If	different from above)	
MAILING ADDRESS (number, street, apt. or suite no.) (See instruction	ons on Page 2)		
CITY, STATE, ZIP CODE	ADDRESS		
	2 – Entity Type		
Check one (1) box only that matches the entity type of the P			
SINGLE MEMBER LLC Disregarded Entity owned by an	· •	entistry, chiropractic, etc.)	
individual PARTNERSHIP	LEGAL (e.g., attor	- ,	
ESTATE OR TRUST	<b>EXEMPT</b> (e.g., nonprofit)		
	<b>Identification Num</b>	ber	
Enter your Tax Identification Number (TIN) in the appropriate bo	x. The TIN must		
<b>match</b> the name given in Section 1 of this form. Do not provide more than one (1) TIN.			
	more than one (1) TIN.	Social Security Number (SSN) or	
The TIN is a 9-digit number. Note: Payment will not be processed	more than one (1) TIN.	Social Security Number (SSN) or Individual Tax Identification Number (ITIN)	
<ul><li>The TIN is a 9-digit number. Note: Payment will not be processe</li><li>For Individuals, enter SSN.</li></ul>	more than one (1) TIN. ed without a TIN.		
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Reset Form

Print Form

CALIFORNIA NONRESIDENT – Payments to nonresidents for services may be subject to state income tax withholding.

□No services performed in California

Copy of Franchise Tax Board waiver of state withholding is attached.

### Section 5 – Certification

I hereby certify under penalty of perjury that the information provided on this document is true and correct. Should my residency status change, I will promptly notify the state agency below.

NAME OF AUTHORIZED PAYEE	REPRESENTA	TIVE	TITLE E-M		E-MAIL ADDRESS	
SIGNATURE			DATE	TELEPH	ONE (include area code)	
	S	ection 6 – P	aying State	Agency		
Please return completed form to	:					
STATE AGENCY/DEPARTMENT OFFICE Governor's Office of Business & Economic Development		UNIT/SECTION CalBiz/REDI				
MAILING ADDRESS 1325 J Street, 18th Floor			<b>FAX</b> 916 447-79	34	<b>TELEPHONE</b> (include area code) 1 877-345-4633	
<b>CITY</b> Sacramento,	<b>STATE</b> CA	<b>ZIP CODE</b> 95814		E-MAIL ADDRE regionalinvestm	AIL ADDRESS onalinvestment@gobiz.ca.gov	

### STATE OF CALIFORNIA – DEPARTMENT OF FINANCE

#### PAYEE DATA RECORD

(Required when receiving payment from the State of California in lieu of IRS W-9 or W-7) STD 204 (Rev. 03/2021)

#### **GENERAL INSTRUCTIONS**

Type or print the information on the Payee Data Record, STD 204 form. Sign, date, and return to the state agency/department office address shown in Section 6. Prompt return of this fully completed form will prevent delays when processing payments.

Information provided in this form will be used by California state agencies/departments to prepare Information Returns (Form1099). NOTE: Completion of this form is optional for Government entities, i.e. federal, state, local, and special districts.

A completed Payee Data Record, STD 204 form, is required for all payees (non-governmental entities or individuals) entering into a transaction that may lead to a payment from the state. Each state agency requires a completed, signed, and dated STD 204 on file; therefore, it is possible for you to receive this form from multiple state agencies with which you do business.

Payees who do not wish to complete the STD 204 may elect not to do business with the state. If the payee does not complete the STD 204 and the required payee data is not otherwise provided, payment may be reduced for federal and state backup withholding. Amounts reported on Information Returns (Form 1099) are in accordance with the Internal Revenue Code (IRC) and the California Revenue and Taxation Code (R&TC).

#### Section 1 – Pavee Information

Name – Enter the name that appears on the payee's federal tax return. The name provided shall be the tax liable party and is subject to IRS TIN matching (when applicable).

- Sole Proprietor/Individual/Revocable Trusts enter the name shown on your federal tax return. · Single Member Limited Liability Companies (LLCs) that is disregarded as an entity separate from its owner for federal tax purposes - enter the name of the individual or business entity that is tax liable for the business in section 1. Enter the DBA, LLC name, trade, or fictitious name under Business Name.
- Note: for the State of California tax purposes, a Single Member LLC is not disregarded from its owner, even if they may be disregarded at the Federal level.
- Partnerships, Estates/Trusts, or Corporations enter the entity name as shown on the entity's federal tax return. The name provided in Section 1 must match to the TIN provided in section 3. Enter any DBA, trade, or fictitious business names under Business Name.
- Business Name Enter the business name, DBA name, trade or fictitious name, or disregarded LLC name.

Mailing Address - The mailing address is the address where the payee will receive information returns. Use form STD 205, Payee Data Record Supplement to provide a remittance address if different from the mailing address for information returns, or make subsequent changes to the remittance address.

#### Section 2 – Entity Type

If the Payee in Section 1 is a(n)	THEN Select the Box for
Individual • Sole Proprietorship • Grantor (Revocable Living) Trust disregarded for federal tax purposes	Sole Proprietor/Individual
Limited Liability Company (LLC) owned by an individual and is disregarded for federal tax purposes	Single Member LLC-owned by an individual
Partnerships • Limited Liability Partnerships (LLP) • and, LLC treated as a Partnership	Partnerships
Estate   Trust (other than disregarded Grantor Trust)	Estate or Trust
Corporation that is medical in nature (e.g., medical and healthcare services, physician care, nursery care, dentistry, etc. • LLC that is to be taxed like a Corporation and is medical in nature	Corporation-Medical
Corporation that is legal in nature (e.g., services of attorneys, arbitrators, notary publics involving legal or law related matters, etc.) • LLC that is to be taxed like a Corporation and is legal in nature	Corporation-Legal
Corporation that qualifies for an Exempt status, including 501(c) 3 and domestic non-profit corporations.	Corporation-Exempt
Corporation that does not meet the qualifications of any of the other corporation types listed above • LLC	Corporation-All Other
that is to be taxed as a Corporation and does not meet any of the other corporation types listed above	

#### Section 3 – Tax Identification Number

The State of California requires that all parties entering into business transactions that may lead to payment(s) from the state provide their Taxpayer Identification Number (TIN). The TIN is required by R&TC sections 18646 and 18661 to facilitate tax compliance enforcement activities and preparation of Form 1099 and other information returns as required by the IRC section 6109(a) and R&TC section 18662 and its regulations.

#### Section 4 – Payee Residency Status

#### Are you a California resident or nonresident?

- A corporation will be defined as a "resident" if it has a permanent place of business in California or is gualified through the Secretary of State to do business in California.
- A partnership is considered a resident partnership if it has a permanent place of business in California.
- An estate is a resident if the decedent was a California resident at time of death.
- A trust is a resident if at least one trustee is a California resident.
- For individuals and sole proprietors, the term "resident" includes every individual who is in California for other than a temporary or transitory purpose and any individual domiciled in California who is absent for a temporary or transitory purpose. Generally, an individual who comes to California for a purpose that will extend over a long or indefinite period will be considered a resident. However, an individual who comes to perform a particular contract of short duration will be considered a nonresident.

For information on Nonresident Withholding, contact the Franchise Tax Board at the numbers listed below:

Withholding Services and Compliance Section: 1-888-792-4900 E-mail address: wscs.gen@ftb.ca.gov For hearing impaired with TDD, call: 1-800-822-6268 Website: www.ftb.ca.gov

### Section 5 – Certification

Provide the name, title, email address, signature, and telephone number of individual completing this form and date completed. In the event that a SSN or ITIN is provided, the individual identified as the tax liable party must certify the form. Note: the signee may differ from the tax liable party in this situation if the signe e can provide a power of attorney documented for the individual.

### Section 6 – Paying State Agency

This section must be completed by the state agency/department requesting the STD 204.

#### **Privacy Statement**

Section 7(b) of the Privacy Act of 1974 (Public Law 93-579) requires that any federal, state, or local governmental agency, which requests an individual to disclose their social security account number, shall inform that individual whether that disclosure is mandatory or voluntary, by which statutory or other authority such number is solicited, and what uses will be made of it. It is mandatory to furnish the information requested. Federal law requires that payment for which the requested information is not provided is subject to federal backup withholding and state law imposes noncompliance penalties of up to \$20,000. You have the right to access records containing your personal information, such as your SSN. To exercise that right, please contact the business services unit or the accounts payable unit of the state agency(ies) with which you transact that business.

All questions should be referred to the requesting state agency listed on the bottom front of this form.

## CERTIFICATION

*I, the official named below, hereby swear that I am duly authorized legally to bind the contractor or grant recipient to the certification described below. I am fully aware that this certification, executed on the date below, is made under penalty of perjury under the laws of the State of California.* 

CONTRACTOR/BIDDER FIRM NAME	FEDERAL ID NUMBER
BY (Authorized Signature)	DATE EXECUTED
A A	
PRINTED NAME AND TITLE OF PERSON SIGNING	TELEPHONE NUMBER (Include Area Code)         (
TITLE	

CONTRACTOR/BIDDER FIRM'S MAILING ADDRESS

The contractor or grant recipient named above hereby certifies compliance with Government Code Section 8355 in matters relating to providing a drug-free workplace. The above named contractor or grant recipient will:

- 1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations, as required by Government Code Section 8355(a).
- 2. Establish a Drug-Free Awareness Program as required by Government Code Section 8355(b), to inform employees about all of the following:
  - (a) The dangers of drug abuse in the workplace,
  - (b) The person's or organization's policy of maintaining a drug-free workplace,
  - (c) Any available counseling, rehabilitation and employee assistance programs, and
  - (d) Penalties that may be imposed upon employees for drug abuse violations.
- 3. Provide as required by Government Code Section 8355(c), that every employee who works on the proposed contract or grant:
  - (a) Will receive a copy of the company's drug-free workplace policy statement, and
  - (b) Will agree to abide by the terms of the company's statement as a condition of employment on the contract or grant.
- 4. At the election of the contractor or grantee, from and after the "Date Executed" and until (NOT TO EXCEED 36 MONTHS), the state will regard this certificate as valid for all contracts or grants entered into between the contractor or grantee and this state agency without requiring the contractor or grantee to provide a new and individual certificate for each contract or grant. If the contractor or grantee elects to fill in the blank date, then the terms and conditions of this certificate shall have the same force, meaning, effect and enforceability as if a certificate were separately, specifically, and individually provided for each contract or grant between the contractor or grantee and this state agency.

### CCC 04/2017

### **CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)		Federal ID Number
By (Authorized Signature)		
Printed Name and Title of Person Signing		
Date Executed	Executed in the County of	

### CONTRACTOR CERTIFICATION CLAUSES

1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 11102) (Not applicable to public entities.)

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

receive a copy of the company's drug-free workplace policy statement; and,
 agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. <u>NATIONAL LABOR RELATIONS BOARD CERTIFICATION</u>: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

# 4. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO</u> <u>REQUIREMENT:</u> Contractor hereby certifies that Contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. <u>EXPATRIATE CORPORATIONS</u>: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

### 6. <u>SWEATFREE CODE OF CONDUCT:</u>

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. <u>DOMESTIC PARTNERS</u>: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.3.

8. <u>GENDER IDENTITY</u>: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.35.

### DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. <u>CONTRACTOR NAME CHANGE</u>: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

### 5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. <u>AIR OR WATER POLLUTION VIOLATION</u>: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. <u>PAYEE DATA RECORD FORM STD. 204</u>: This form must be completed by all contractors that are not another state agency or other governmental entity.

### DARFUR CONTRACTING ACT COMPLIANCE

Pursuant to Public Contract Code (PCC) sections 10475-10481, the Darfur Contracting Act's intent is to preclude State agencies from contracting with scrutinized companies that do business in the African nation of Sudan. A scrutinized company is a company doing specified types of business in Sudan as defined in PCC section 10476. Scrutinized companies are ineligible to, and cannot, contract with a State agency for goods or services (PCC section 10477(a)) unless obtaining permission from the Department of General Services according to the criteria set forth in PCC section 10477(b).

Therefore, to be eligible to contract with the California Governor's Office of Business & Economic Development (GO-Biz), please initial <u>one of the following</u> three paragraphs and complete the certification below:

1. \_\_\_\_\_ We do not currently have, or we have not had within the previous three years, business activities or other operations outside of the United States.

### OR

2. We are a scrutinized company as defined in Public Contract Code section 10476, but we have received written permission from the Department of General Services (DGS) to submit a bid or proposal pursuant to Public Contract Code section 10477(b) or submit a contract/purchase order. A copy of the written permission from DGS is included with our bid, proposal or contract/purchase order.

### OR

3. \_\_\_\_\_\_ We currently have, or we have had within the previous three years, Initials business activities or other operations outside of the United States, but we certify below that we are not a scrutinized company as defined in Public Contract Code section 10476.

### **CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind this company to the clause listed above. This certification is made under the laws of the State of California.

Company Name (Printed)		Federal ID Number
By (Authorized Signature)		
Printed Name and Title of Person Signing		
Date Executed	Executed in the County and	State of

# **BIDDER DECLARATION**

**1.** Prime bidder information (Review attached Bidder Declaration Instructions prior to completion of this form):

a. Identify current California certification(s) (MB, SB, NVSA, DVBE): \_\_\_\_\_ or None \_\_\_\_ (If "None", go to Item #2)

**b.** Will subcontractors be used for this contract? **Yes No** (If yes, indicate the distinct element of work <u>your firm</u> will perform in this contract e.g., list the proposed products produced by your firm, state if your firm owns the transportation vehicles that will deliver the products to the State, identify which solicited services your firm will perform, etc.). Use additional sheets, as necessary.

<ol> <li>If you are a California certified</li> </ol>	DVBE:
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(1) Are you a broker or agent? Yes \_\_\_\_ No \_\_\_\_
(2) If the contract includes equipment rental, does your company own at least 51% of the equipment provided in this contract (quantity and value)? Yes \_\_\_\_ No \_\_\_\_ N/A \_\_\_\_

2. If no subcontractors will be used, skip to certification below. Otherwise, list all subcontractors for this contract. (Attach additional pages if necessary):

Subcontractor Name, Contact Person, Phone Number & Fax Number	Subcontractor Address & Email Address	CA Certification (MB, SB, NVSA, DVBE or None)	Work performed or goods provided for this contract	Corresponding % of bid price	Good Standing?	51% Rental?

# **BIDDER DECLARATION Instructions**

#### All prime bidders (the firm submitting the bid) must complete the Bidder Declaration.

- **1.a.** Identify all current certifications issued by the State of California. If the prime bidder has no California certification(s), check the line labeled "None" and proceed to Item #2. If the prime bidder possesses one or more of the following certifications, enter the applicable certification(s) on the line:
  - Microbusiness (MB)
  - Small Business (SB)
  - Nonprofit Veteran Service Agency (NVSA)
  - Disabled Veteran Business Enterprise (DVBE)
- **1.b.** Mark either "Yes" or "No" to identify whether subcontractors will be used for the contract. If the response is "No", proceed to Item #1.c. If "Yes", enter on the line the distinct element of work contained in the contract to be performed or the goods to be provided by the prime bidder. Do not include goods or services to be provided by subcontractors.

Bidders certified as MB, SB, NVSA, and/or DVBE must provide a commercially useful function as defined in Military and Veterans Code Section 999 for DVBEs and Government Code Section 14837(d)(4)(A) for small/microbusinesses.

Bids must propose that certified bidders provide a commercially useful function for the resulting contract or the bid will be deemed non-responsive and rejected by the State. For questions regarding the solicitation, contact the procurement official identified in the solicitation.

# Note: A subcontractor is any person, firm, corporation, or organization contracting to perform part of the prime's contract.

- 1.c. This item is only to be completed by businesses certified by California as a DVBE.
  - (1) Declare whether the prime bidder is a broker or agent by marking either "Yes" or "No". The Military and Veterans Code Section 999.2 (b) defines "broker" or "agent" as a certified DVBE contractor or subcontractor that does not have title, possession, control, and risk of loss of materials, supplies, services, or equipment provided to an awarding department, unless one or more of the disabled veteran owners has at least 51-percent ownership of the quantity and value of the materials, supplies, services, and of each piece of equipment provided under the contract.
  - (2) If bidding rental equipment, mark either "Yes" or "No" to identify if the prime bidder owns at least 51% of the equipment provided (quantity and value). If **not** bidding rental equipment, mark "N/A" for "not applicable."
- 2. If no subcontractors are proposed, do not complete the table. Read the certification at the bottom of the form and complete "Page \_\_\_\_\_ of \_\_\_\_" on the form.

If subcontractors will be used, complete the table listing all subcontractors. If necessary, attach additional pages and complete the "Page \_\_\_\_\_ of \_\_\_\_" accordingly.

2. (continued) Column Labels

Subcontractor Name, Contact Person, Phone Number & Fax Number—List each element for all subcontractors.

Subcontractor Address & Email Address — Enter the address and if available, an Email address.

*CA Certification (MB, SB, NVSA, DVBE or None)*—If the subcontractor possesses a current State of California certification(s), verify on this website (<u>www.eprocure.pd.dgs.ca.gov</u>).

**Work performed or goods provided for this contract**—Identify the distinct element of work contained in the contract to be performed or the goods to be provided by each subcontractor. Certified subcontractors must provide a commercially useful function for the contract. (See paragraph 1.b above for code citations regarding the definition of commercially useful function.) If a certified subcontractor is further subcontracting a greater portion of the work or goods provided for the resulting contract than would be expected by normal industry practices, attach a separate sheet of paper explaining the situation.

**Corresponding % of bid price**—Enter the corresponding percentage of the total bid price for the goods and/or services to be provided by each subcontractor. Do not enter a dollar amount.

**Good Standing?**—Provide a response for each subcontractor listed. Enter either "Yes" or "No" to indicate that the prime bidder has verified that the subcontractor(s) is in good standing for all of the following:

- Possesses valid license(s) for any license(s) or permits required by the solicitation or by law
- If a corporation, the company is qualified to do business in California and designated by the State of California Secretary of State to be in good standing
- Possesses valid State of California certification(s) if claiming MB, SB, NVSA, and/or DVBE status

*51% Rental?*—This pertains to the applicability of rental equipment. Based on the following parameters, enter either "N/A" (not applicable), "Yes" or "No" for each subcontractor listed.

Enter"N/A" if the:

- Subcontractor is NOT a DVBE (regardless of whether or not rental equipment is provided by the subcontractor) or
- Subcontractor is NOT providing rental equipment (regardless of whether or not subcontractor is a DVBE)

Enter"**Yes**" if the subcontractor is a California certified DVBE providing rental equipment and the subcontractor owns at least 51% of the rental equipment (quantity and value) it will be providing for the contract.

Enter "**No**" if the subcontractor is a California certified DVBE providing rental equipment but the subcontractor does NOT own at least 51% of the rental equipment (quantity and value) it will be providing.

Read the certification at the bottom of the page and complete the "Page \_\_\_\_ of \_\_\_\_" accordingly.